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## Introduction

This document reports on our performance during January 2021.

The OHO is Queensland's health service complaints management agency. We are an independent statutory body and the one place all Queenslanders should go if they have a complaint about a health service provided to them or someone in their care, a health service provider or any aspect of a health service provided in Queensland.

The OHO's vision is 'safe, competent and ethical health services that are responsive to consumer complaints' The OHO is committed to ensuring that all decisions are well-informed, fair, impartial and timely. For transparency the OHO publishes monthly, quarterly and yearly reports about its performance.

Data in this report is correct as at 6 February 2021 but is subject to change.

# Intake of complaints

### **Type of contacts**

Type of contact	Number	Percentage
Complaint	649	74.68
Enquiry	183	21.06
Information	32	3.68
Yet to be classified	5	0.58
Total	869	100.00

<sup>&#</sup>x27;Yet to be classified' includes contacts in which not enough information was provided for a determination to be reached—but further information is being sought, or matters that were not able to be finalised prior to the end of the reporting period. Contacts deemed 'yet to be classified' will be allocated as complaints or enquiries once additional information is received and registered as such in the next reporting period.

### Type of complaints

Type of complaints	Number	Percentage				
Health consumer complaint	587	90.45				
Mandatory notification*	24	3.70				
Voluntary notification*	35	5.39				
Self-notification*	3	0.46				
Referral from another agency	0	0.00				
Total	649	100.00				

<sup>\*</sup>Notifications are matters defined under the Health Practitioner Regulation National Law (Queensland) and only relate to registered practitioners.

### **Complaint decisions**

On 1 March 2020, amendments were made to the *Health Ombudsman Act 2013* (the Act) enabling the office to 'Not accept' a complaint in situations where the Health Ombudsman is satisfied:

- the complaint would be more appropriately dealt with by a different person or organisation; or
- the complainant has not yet sought a resolution with the relevant health service provider and it is reasonable in the circumstances for the complainant to first do so.

As a result of these changes, the table "Accepted vs Not Accepted" has been replaced with the table "Decisions made" included below.

Cases previously categorised as "Not Accepted" are now reported under the category of "Accepted and no further action taken" and relate to the number of decisions to take no further action under s 44 of the Act. This change is to definition only, and no alterations have been made to how these cases are managed by the office.

#### Decision timeframes—within seven days

Decision made within seven days of receiving a complaint	Number	Percentage				
Yes	572	99.13				
No	5	0.87				
Total	577	100.00				

#### **Decisions made**

Number of decisions made	Number	Percentage				
Accepted and further relevant action taken	407	70.54				
Accepted and no further action taken*	95	16.46				
Not accepted under s35A**	75	13.00				
Total	577	100.00				

<sup>\*</sup>These decisions relate to matters in which the Health Ombudsman has decided to take no further action under section 44 of the Act. Prior to 1 March 2020, this category was reported as "Not Accepted".

An additional two matters were determined to fall outside the jurisdiction of the Act.

<sup>\*\*</sup>Matters may not be accepted under s35A of the Act where the matter would be more appropriately dealt with by an entity other than the health ombudsman or where the complainant has not yet sought a resolution with the health service provider.

### **Accepted decision outcomes**

Type of relevant action taken	Number	Percentage
Assessment	79	19.27
Local resolution	108	26.34
Conciliation	0	0.00
Investigation	6	1.46
Referral to Ahpra and the national boards	101	24.63
Referral to another entity	116	28.29
Referral to legal services	0	0.00
Total	410	100.00

The data in the above table includes all actions taken in matters which were identified as requiring action (noted in category 'Accepted and further relevant action taken' included the previous 'Decisions made' table). A single decision may result in more that one action being taken.

# Health service complaints profile

### Main issues raised in complaints

Issue	Number	Percentage
Access	142	13.42
Code of conduct for healthcare workers	9	0.85
Communication/information	100	9.45
Consent	23	2.17
Discharge/transfer arrangements	19	1.80
Environment/management of facilities	73	6.90
Fees/cost	31	2.93
Grievance processes	46	4.35
Health Ombudsman Act 2013 offence	0	0.00
Medical records	30	2.84
Medication	95	8.98
Professional conduct	115	10.87
Professional health	19	1.80
Professional performance	336	31.76
Reports/certificates	20	1.89
Research/teaching/assessment	0	0.00
Total	1058	100.00

These figures are based on issues recorded during the reporting period. A single complaint can contain multiple issues. Reporting on all issues identified in complaints allows comprehensive monitoring of trends, which can in turn inform a range of health complaint management system functions, such as education and information provision.

# Subcategories of professional performance issues raised in complaints

Issue	Number	Percentage				
Competence	8	2.38				
Coordination of treatment	21	6.25				
Delay in treatment	22	6.55				
Diagnosis	29	8.63				
Inadequate care	25	7.44				
Inadequate consultation	22	6.55				
Inadequate prosthetic equipment	4	1.19				
Inadequate treatment	108	32.14				
Infection control	7	2.08				
No or inappropriate referral	8	2.38				
Public or private election	0	0.00				
Rough and painful treatment	10	2.98				
Teamwork and supervision	2	0.60				
Unexpected treatment outcome or complications	64	19.05				
Withdrawal of treatment	6	1.79				
Wrong or inappropriate treatment	0	0.00				
Total	336	100.00				

Professional performance represents the largest proportion of complaint issues. Additional information on this category of issue provides greater transparency around the issues being managed by OHO. These figures are based on issues recorded during the reporting period. A single complaint can contain multiple issues. Reporting on all issues identified in complaints allows comprehensive monitoring of trends, which can in turn inform a range of health complaint management system functions, such as education and information provision.

### **Profile of complaints about health practitioners**

Practitioner type	Number of						Nun	nber and ty	/pe of issues** i	dentified in compl	aints about he	alth practitione	rs					
	practitioners identified in complaints*	Access	Code of conduct for healthcare workers	Communication and information	Consent	Discharge/ transfer arrangements	Environment/ management of facility	Fees and costs	Grievance process	Health Ombudsman Act 2013 Offence	Medical records	Medication	Professional conduct	Professional health	Professional performance	Reports/ certificates	Research/ Teaching/ Assessment	Total issues identified
Aboriginal and Torres Strait Islander health practitioner	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0
Chinese medicine practitioner	1	-	-	-	-	-	-	-	-	-	-	-	1	-	-	-	-	1
Chiropractor	4	-	-	-	-	-	-	1	-	-	1	-	4	-	2	-	-	8
Dental practitioner	23	-	-	4	-	-	-	1	1	-	-	-	-	-	25	-	-	31
Medical practitioner	157	7	-	28	1	-	2	9	-	-	6	20	43	10	87	12	-	225
Medical radiation practitioner	1	-	-	-	-	-	-	-	-	-	-	-	-	-	1	-	-	1
Midwife	3	-	-	-	-	-	-	-	-	-	1	-	1	1	1	-	-	4
Nurse	40	-	1	-	1	-	-	-	-	-	1	5	32	7	8	-	-	55
Occupational therapist	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0
Optometrist	1	-	-	-	-	-	-	-	-	-	-	-	-	-	1	-	-	1
Osteopath	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0
Paramedic	5	-	-	-	-	-	-	-	-	-	-	-	6	-	1	-	-	7
Pharmacist	5	-	-	-	-	-	-	-	-	-	-	2	2	-	1	-	-	5
Physiotherapist	2	-	-	-	1	-	-	-	-	-	-	-	-	-	3	-	-	4
Podiatrist	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0
Psychologist	16	-	-	-	3	-	1	1	1	-	3	-	7	1	4	2	-	23
Student practitioner	3	-	1	-	-	-	-	-	-	-	-	-	2	-	-	-	-	3
Unregistered practitioner	9	-	5	-	-	-	-	-	-	-	-	-	3	-	1	1	-	10
Unknown practitioner	9	-	-	2	-	-	-	-	-	-	-	2	1	-	4	1	-	10
Total	279	7	7	34	6	0	3	12	2	0	12	29	102	19	139	16	0	388

<sup>\*</sup> The figures reported in this column are a count of the number of health practitioners identified in complaints during the reporting period. A single complaint may identify more than one health practitioner and/or health service organisation. In circumstances where a health practitioner is identified in relation to multiple complaints, the health practitioner would be counted per complaint. For example, a health practitioner identified in three complaints would be counted three times in this column.

<sup>\*\*</sup> This data is a count of the number of issues identified within the reporting period. A complaint may also identify more than one issue per health practitioner.

### Profile of complaints about health service organisations

Organisation type	Number of facilities Number and type of issues** identified in complaints about health service organisations												sations					
	identified in complaints*	Access	Code of conduct for healthcare workers	Communication and information	Consent	Discharge/ transfer arrangements	Environment/ management of facility	Fees and costs	Grievance processes	Health Ombudsman Act 2013 Offence	Medical records	Medication	Professional conduct	Professional health	Professional performance	Reports/ certificates	Research/ Teaching/ Assessment	Total issues identified
Administrative service	0	-	-	-	-	-	-	-	-	-		-	-	-	-	-	-	0
Aged care facility	4	-	-	-	-	-	3	-	1	-	-	1	-	-	2	-	-	7
Allied health service	5	1	-	1	-	-	4	-	-	-	-	-	1	-	-	-	-	7
Ambulance service	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0
Community health service	4	-	-	-	1	-	1	-	-	-	-	1	-	-	1	-	-	4
Correctional facility	138	74		3			6	-	1	-	1	36	1	-	39	-	-	161
Dental service	13	5	-	-	-	-	1	-	4	-		-	-	-	3	-	-	13
Health information service	0	-		-				-	-	-	100	-	-	-	-	-	-	0
Hospital and Health Service	1	-	-	-	-	-	1	-	-	-	-	-	-	-	-	-	-	1
Laboratory service	5	-		5			3	-	1	-	100	-	-	-	-	-	-	9
Licensed private hospital	17	3	-	4	1	1	6	3	3	-	2	2	1	-	3	-	-	29
Medical centre	56	11	1	13	-	-	11	9	10	-	9	2	1	-	8	2	-	77
Mental health service	30	1	1	7	9	4	4	-	-	-	-	7	2	-	10	1	-	46
Optical Store	5	-	-	-	-	-	3	1	-	-	-	-	-	-	1	-	-	5
Other government department	1	-	-	-	-	-	1	-	-	-	-	-	-	-	-	-	-	1
Other support service	2	-	-	-	-	-	1	-	-	-	-	1	-	-	2	-	-	4
Paramedical service	2	-	-	1	-	-	-	-	-	-	-	1	-	-	-	-	-	2
Pharmaceutical service	6	-	-	-	-	-	-	-	-	-	-	6	-	-	-	-	-	6
Private organisation	3	-	-	-		-		1	1	-		-	1	-	-	-	-	3
Public health service	9	3	-	2	-	1	2	-	-	-	-	-	1	-	4	-	-	13
Public hospital	161	35	-	29	6	13	22	4	22	-	6	7	4	-	121	1	-	270
Residential care service	0	-	-	-	-	-		-	-	-	-	-	-	-	-	-	-	0
Specialised health service	8	2	-	1	-	-	1	1	1	-	-	1	1	-	3	-	-	11
Unknown organisation	1	-	-	-	-	-	-	-	-	-	-	1	-	-	-	-	-	1
Total	471	135	2	66	17	19	70	19	44	0	18	66	13	0	197	4	0	670

<sup>\*</sup> The figures reported in this column are a count of the number of health service organisations identified in complaints during the reporting period. A single complaint may identify more than one health practitioner and/or health service organisation. In circumstances where a health service organisation is identified in relation to multiple complaints, the health service organisation would be counted per complaint. For example, a health service organisation identified in three complaints would be counted three times in this column.

<sup>\*\*</sup> This data is a count of the number of issues identified within the reporting period. A complaint may also identify more than one issue per health service organisation.

### **Assessment**

### **Assessments started and completed**

Assessments this month	Number						
Assessments started	86						
Assessments completed	83						

### **Completed assessment timeframes**

Of the 83 assessments finalised in January, 62 were completed within 30 days or 60 days with an approved extension, representing a finalisation rate within statutory timeframes of 74.70 per cent.

Of the 42 assessments completed within 60 days, all were approved for extension.

Assessment timeframes	Number	Percentage
Completed within 30 days	19	22.89
Completed within 60 days*	42	50.60
Completed in more than 60 days	22	26.51
Total	83	100.00

<sup>\*</sup>Assessments are able to be completed within 60 days when granted an extension of 30 days as a result of legislated requirements being met.

#### **Assessment decisions**

Type of relevant action	Number	Percentage
Local resolution	0	0.00
Conciliation	3	3.37
Investigation	7	7.87
Referred to Ahpra and the national boards	16	17.98
Referral to another entity	15	16.85
No further action	48	53.93
Total	89	100.00

Total assessment decisions will not equal the total number of assessments (in previous tables) as a single assessment can result in multiple relevant actions.

### **Local resolution**

### Local resolutions started and completed

Local resolutions this month	Number
Local resolutions started	115
Local resolutions completed	142

### **Completed local resolutions**

#### **Timeframes**

Of the 134 local resolutions finalised in December, 126 were completed within 30 days or 60 days with an approved extension, representing a finalisation rate within statutory timeframes of 94.03 per cent.

Local resolution timeframes	Number	Percentage
Completed within 30 days	89	62.68
Completed within 60 days*	48	33.80
Completed in more than 60 days	5	3.52
Total	142	100.00

<sup>\*</sup>Local resolutions are able to be completed within 60 days when granted an extension of 30 days as a result of legislated requirements being met.

#### **Outcomes**

Local resolution outcomes	Number	Percentage
Resolved	115	80.99
Not resolved	15	10.56
Complaint withdrawn*	11	7.75
Local resolution did not commence**	1	0.70
Total	142	100.00

<sup>\*</sup>Complainants can choose to withdraw their complaint at any stage during local resolution.

### Decisions for matters that were not resolved

Type of relevant action	Number	Percentage
Assessment	0	0.00
Conciliation	0	0.00
Investigation	0	0.00
Referral to Ahpra and the national boards	0	0.00
Referral to another entity	0	0.00
No further action	5	100.00
Total	5	100.00

<sup>\*\*</sup>A local resolution may not commence where the complaint is resolved directly with the health care provider prior to the commencement of the process.

### **Conciliation**

#### Conciliations started and closed

Conciliations this month	Number
Conciliations open at the start of the month	39
Conciliations started	2
Conciliations closed	1

<sup>&#</sup>x27;Conciliations started' includes all matters—including matters where agreement to participate has or has not been reached or the decision is pending—that entered the conciliation workflow during the reporting period. Similarly, 'conciliations closed' are all matters that were closed during the reporting period, whether due to parties not agreeing to participate or the matter being closed after completing the conciliation process. Closed conciliations differ from completed conciliations below, as completed conciliations only include matters where both parties agreed to participate and the conciliation process was completed.

### Agreement to participate in conciliation

Agreement to participate in conciliation	Number
Parties agreed to conciliation	3
Party/ies did not agree to conciliation	0
Decision pending at end of month	20

Once the decision is made to attempt conciliation, both parties must agree to participate in the process. If either one, or both, of the parties do not agree, the conciliation process does not commence and the matter is closed.

'Decisions pending' includes matters where parties are still deciding whether to participate in conciliation at the end of the reporting period. It also includes matters that have been referred to another relevant action, where conciliation may not commence until this relevant action has been finalised.

### **Completed conciliations**

#### **Timeframes**

Completed conciliation timeframes	Number	Percentage
Less than 3 months	0	0.00
3–6 months	0	0.00
6–9 months	0	0.00
9–12 months	0	0.00
More than 12 months	0	0.00
Total	0	0.00

The data above relates to matters where parties initially agreed to participate in conciliation and the conciliation process was completed within the specified timeframes. 'Completed conciliations' differ from 'closed conciliations'—in the table on page 15—as they only relate to matters where parties initially agreed to participate.

#### **Outcomes**

Conciliation outcomes	Number	Percentage
Successful	0	0.00
Not successful	0	0.00
Ended by Health Ombudsman	0	0.00
Parties withdrew prior to conciliation conference*	0	0.00
Total	0	0.00

The data above relates to matters where parties agreed to participate in conciliation. After agreeing, the conciliation process was completed with the matter either being successful or not successful—or in some instances, the Health Ombudsman may end a conciliation or parties involved may withdraw from the process prior to conciliation occurring. 'Completed conciliations' differ from 'closed conciliations'—in the table on page 16—in that they only relate to matters where parties agreed to participate in conciliation.

#### Decisions for conciliations that were not successful

Type of relevant action	Number	Percentage
Local resolution	0	0.00
Investigation	0	0.00
Referral to Ahpra and the national boards	0	0.00
Referral to another entity	0	0.00
No further action	0	0.00
Total	0	0.00

### **Open conciliation timeframes**

Open conciliation timeframes	Number	Percentage
Less than 3 months	17	42.50
3–6 months	7	17.50
6–9 months	6	15.00
9–12 months	1	2.50
More than 12 months	9	22.50
Total	40	100.00

Matters can be referred simultaneously to conciliation and another relevant action. Conciliation may not commence until after the other relevant action has been finalised. To allow for continued transparency in the timeliness of conciliation processes, open conciliation timeframes include the time in which a matter was on hold whilst another process was finalised.

There are 14 matters on hold until the outcome of another process is finalised (e.g. the process of another agency such as Ahpra). This includes 4 matters that have been open for less than 3 months, 3 that have been open for 3–6 months, 2 that have been open for 6 – 9 months, 1 that has been open for 9–12 months, and 4 that have been open for more than 12 months.

<sup>\*</sup>Improvements to the office's systems and processes have enabled the inclusion of this outcome in September 2020. Previously matters where parties initially agreed to participate in conciliation, and subsequently withdrew from the process were reported as Not successful.

# Investigation

### Investigations started and closed

Investigations this month	Number
Investigations open at the beginning of the month	142
Investigations started	15
Investigations closed	15
Investigations amalgamated under s40(2)*	6
Investigations separated under s40(2)**	0

<sup>\*</sup> Matters that involve similar allegations against a health service provider may be combined and dealt with together under section 40(2) of the Act.

### **Closed investigations**

#### **Timeframes**

Of the 15 investigations, 46.67 per cent were closed within twelve months of commencement.

Closed investigation timeframes	Number	Percentage
Less than 3 months	5	33.33
3–6 months	1	6.67
6–9 months	0	0.00
9–12 months	1	6.67
12–24 months	7	46.67
More than 2 years	1	6.67
Total	15	100.00

### **Investigation outcomes**

Outcome	Number	Percentage
Recommended for referral to Director of Proceedings*	6	40.00
Recommended that the Health Ombudsman issue a Permanent Prohibition Order	2	13.33
Referred to Ahpra	5	33.33
Referred to another agency	0	0.00
No further action	2	13.33
Conciliation	0	0.00
Total	15	100.00

Total investigation outcomes may not equal the total number of investigations completed (in previous tables) as a single investigation may result in multiple outcomes. In certain circumstances it may also be appropriate for the office to take action prior to the investigation being completed.

<sup>\*\*</sup>The office may decide to separate an investigation in cases where, as the investigation progresses, it becomes apparent that the matter is not suitable to be dealt with together under s40(2) of the Act.

<sup>\*</sup>Matters determined suitable for referral to the Director of Proceedings at the conclusion of an investigation are sent to the Health Ombudsman for consideration and determination on whether referral to the Director of Proceedings is appropriate.

### **Open investigations**

Open investigations consist of two categories—active investigations and paused investigations.

Active investigations are being currently investigated by the office, while paused investigations are not able to be investigated by the office until such time as another agency—such as the Queensland Police Service or the Office of the State Coroner—concludes their own processes. Despite the office being unable to progress paused investigations, they are still considered to be open investigations.

#### **Active investigation timeframes**

Active investigation timeframes	Number	Percentage
Less than 3 months	29	31.52
3–6 months	24	26.09
6–9 months	6	6.52
9–12 months	9	9.78
12-24 months*	19	20.65
More than 2 years*	5	5.43
Total	92	100.00

<sup>\*</sup> All investigations that have been open for more than 12 months are published on the investigations register on the OHO website.

#### **Paused investigation timeframes**

Paused investigation timeframes	Number	Percentage
Less than 3 months	4	9.09
3–6 months	7	15.91
6–9 months	3	6.82
9–12 months	12	27.27
12–24 months	10	22.73
More than 2 years	8	18.18
Total	44	100.00

#### **Total open investigation timeframes**

Total open investigation timeframes	Number	Percentage
Less than 3 months	33	24.26
3–6 months	31	22.79
6–9 months	9	6.62
9–12 months	21	15.44
12–24 months	29	21.32
More than 2 years	13	9.56
Total	136	100.0

### **Total open investigation categories**

Type of investigation	Number
Health service complaint	82
Systemic issue	1
Ministerial directed investigation	0
Another matter	50
s105 matters	3
Total	136

# Monitoring investigation recommendations

At the completion of certain investigations, the Health Ombudsman makes recommendations to health services for how they can improve service delivery and/or prevent the issues identified in the investigation from happening again. In these instances, the OHO puts in place a recommendation monitoring program to track the implementation of the recommendations.

### **OHO** recommendations monitoring

Cases this month	Number
Cases open at the beginning of the month	3
Recommendations monitoring cases started	0
Recommendations monitoring cases closed	0

### **Open recommendations monitoring timeframes**

Open case timeframes	Number	Percentage
Less than 6 months	3	100.00
6–12 months	0	0.00
More than 12 months	0	0.00
Total	3	100.00

# **Director of Proceedings**

### Matters referred to the Director of Proceedings by practitioner type

Practitioner type	Number	Percentage
Chinese medicine practitioner	2	33.33
Medical Practitioner	1	16.67
Pharmacist	1	16.67
Registered nurse	2	33.33
Total	6	100.00

# Matters currently with the Director of Proceedings by practitioner type

Practitioner type	Number	Percentage
Chinese medicine practitioner	3	6.25
Dentist	1	2.08
Medical practitioner	34	70.83
Paramedic	1	2.08
Pharmacist	2	4.17
Physiotherapist	1	2.08
Registered nurse	6	12.50
Total	48	100.00

### **Outcomes of matters reviewed by Director of Proceedings**

#### Matters filed in in the Queensland Civil and Administrative Tribunal

No matters were filed in January.

#### Matters to be referred back to the Health Ombudsman

Practitioner type	Number	Percentage
Psychologist	1	50.00
Registered nurse	1	50.00
Total	2	100.00

### Immediate action

The *Health Ombudsman Act 2013* allows for immediate action to be taken against a registered or unregistered health practitioner if the Health Ombudsman reasonably believes the practitioner poses a serious risk to the health and safety of the public, or it is otherwise in the public interest.

#### **Show cause notices**

In January, two show cause notices were issued to:

- one medical practitioner
- one unregistered practitioner

As outlined in the Act, upon receipt of a show cause notice, a health service provider is invited to make a submission within a stated period of time. The Health Ombudsman will then consider the submission before deciding whether to take immediate action against the provider.

### Immediate registration actions

Practitioner type	Number	Action taken	Reasons/s for taking action*	
			Public Interest	Serious Risk
Chinese medicine practitioner	1	Conditions		✓
Medical practitioner	1	Conditions		✓
Paramedic	1	Conditions		√

### Interim prohibition orders

Practitioner type	Number	Action taken	Reasons/s for taking action	
			Public Interest	Serious Risk
Assistant in nursing	1	Prohibition		✓
Chinese medicine practitioner	1	Restriction		√
Massage therapist	1	Restriction		✓
Massage therapist	1	Prohibition		✓
Massage therapist	1	Prohibition	✓	✓

The Health Ombudsman has powers to issue interim prohibitions orders to unregistered practitioners and registered practitioners practicing outside their field of registration. An interim prohibition order can prohibit or restrict the practitioner from providing any health service, or a specific health service. The details for current prohibition orders can be found on the OHO website (www.oho.qld.gov.au) on the prohibition order register.

## Monitoring practitioner compliance

When the Health Ombudsman takes immediate action against a health practitioner, the office monitors the practitioner's compliance with the conditions of the order.

For interim prohibition orders, this means monitoring compliance with the restriction(s) on or prohibition of service. For immediate registration actions, this means monitoring compliance with condition(s) on or suspension of a practitioner's registration.

The Health Ombudsman may take immediate action against a single practitioner with both immediate registration action and an interim prohibition order. This can occur, for example, in instances where there is a risk that a registered practitioner may also practice in an unregistered capacity.

### **Practitioner monitoring cases**

Cases this month	Number
Cases open at the beginning of the month	152
Practitioner monitoring cases started	8
Practitioner monitoring cases closed	3

### **Open monitoring cases**

#### **Timeframes**

Open case timeframes	Number	Percentage
Less than 6 months	45	28.66
6–12 months	32	20.38
More than 12 months	80	50.96
Total	157	100.00

#### **Immediate action types**

Open cases by immediate action type	Number	Percentage
Interim prohibition order – restrictions	24	15.29
Interim prohibition order – prohibited	40	25.48
Immediate registration action - conditions	48	30.57
Immediate registration action - suspension	20	12.74
QCAT disciplinary decision	19	12.10
QCAT interim decision	2	1.27
Permanent prohibition order	4	2.55
Total	157	100.00

### Registered practitioners being monitored by practitioner type

Open cases by practitioner type	Number	Percentage
Aboriginal and Torres Strait Islander health worker	0	0.00
Chinese medicine practitioner	4	5.41
Chiropractor	0	0.00
Dental practitioner	2	2.70
Medical practitioner	30	40.54
Medical radiation practitioner	0	0.00
Nursing and midwifery practitioner	26	35.14
Occupational therapist	0	0.00
Optometrist	0	0.00
Osteopath	1	1.35
Paramedic	3	4.05
Pharmacist	0	0.00
Physiotherapist	5	6.76
Podiatrist	0	0.00
Psychologist	3	4.05
Total	74	100.00

These figures are based on the number of individual registered practitioners being monitored by the OHO during the reporting period. As a single practitioner may be monitored in relation to more than one immediate action, these figures may not match the total number of open monitoring cases.

#### Unregistered practitioners being monitored by type

Open cases by practitioner type	Number	Percentage
Aboriginal and Torres strait islander health worker	1	1.33
Aged care health worker	7	9.33
Assistant in nursing	10	13.33
Cosmetic therapist	1	1.33
Counsellor	2	2.67
Disability support worker	2	2.67
Former registered health practitioner	10	13.33
Health educator	1	1.33
Health support worker	1	1.33
Holding out*	4	5.33
Kinesiologist	2	2.67
Massage therapist	24	32.00
Medical assistant	2	2.67
Natural therapist	1	1.33
Naturopath	1	1.33
Personal carer	1	1.33
Social worker	1	1.33
Student practitioner	1	1.33
Unregistered paramedic**	3	4.00
Total	75	100.00

<sup>\*</sup>The titles of certain registered health professions are protected under the National Law. Anyone who uses a protected title (e.g. doctor), without being registered for that profession, are classified as 'holding out'.

These figures are based on the number of individual unregistered practitioners being monitored by the OHO during the reporting period. As a single practitioner may be monitored in relation to more than one immediate action, these figures may not match the total number of open monitoring cases.

<sup>\*\*</sup>In November 2018 paramedicine became a regulated profession under the National Registration and Accreditation Scheme. The practitioners listed in this table were working as paramedics prior to the regulation of the profession and are therefore listed as unregistered.

# **Australian Health Practitioner Regulation Agency**

#### **Consultation on matters**

The office consults with Ahpra on matters that are considered to be appropriate for Ahpra to manage. For matters that we are considering referring to Ahpra under section 91 of the Act, we provide Ahpra with all necessary information in order for Ahpra to form a view as to whether they need to discuss or accept and progress the referral.

Consultation matters	Number
Matters consulted on*	196
Matters referred	199
Matters retained by the office**	11
Decision pending	11

<sup>\*</sup>The number of matters consulted on may not equal the total number of matters referred, withdrawn and pending as a matter may have commenced consultation prior to the start of the reporting period.

#### Relevant action proposing referral

Relevant action	Number	Percentage
Intake and triage	165	84.18
Assessment	28	14.29
Conciliation	0	0.00
Local resolution	1	0.51
Internal review	0	0.00
Investigations	2	1.02
Director of Proceedings	0	0.00
Total	196	100.00

<sup>\*\*</sup>Under certain circumstances additional information may be received in the course of consultation resulting in the office retaining carriage of the matter and/or taking other relevant action.

#### Age of matters on commencement of consultation

In order to prevent duplication of work, we aim to ensure that matters are referred to Ahpra as early as possible in the complaint management process.

Due to the type of matters for which investigation or conciliation is deemed appropriate, and the more time intensive nature of these processes, these matters are usually older when consultation commences.

Relevant action	0–7 days	8–14 days	15–30 days	31–60 days	More than 60 days
Intake and triage	139	22	3	0	1
Assessment	0	0	3	14	11
Local resolution	0	0	0	1	0
Conciliation	0	0	0	0	0
Internal review	0	0	0	0	0
Investigation	0	0	0	0	2
Director of Proceedings	0	0	0	0	0
Total	139	22	6	15	14

<sup>&#</sup>x27;Age of matters' is calculated from the date on which a matter was accepted by the office.

#### **Consultation duration**

Consultation duration	Number	Percentage
0–3 days	189	96.43
4–7 days	7	3.57
8–11 days	0	0.00
More than 12 days	0	0.00
Total	196	100.00

## Number of practitioners referred to Ahpra by practitioner type

Practitioner type	Number	Percentage	
Aboriginal and Torres Strait Islander health practitioner	0	0.00	
Chinese medicine practitioner	1	0.50	
Chiropractor	6	3.02	
Dental practitioner	15	7.54	
Medical practitioner	94	47.24	
Medical radiation practitioner	1	0.50	
Nursing and midwifery practitioner	48	24.12	
Occupational therapist	0	0.00	
Optometrist	0	0.00	
Osteopath	0	0.00	
Paramedic	7	3.52	
Pharmacist	4	2.01	
Physiotherapist	2	1.01	
Podiatrist	2	1.01	
Psychologist	19	9.55	
Student practitioner	0	0.00	
Total	199	100.00	

### Number of issues referred to Ahpra by practitioner type

Registered practitioner type	Access	Communicati on and information	Consent	Discharge/ transfer arrangements	Environment/ management of facility	Fees and costs	Grievance process	Medical records	Medication	Professional conduct	Professional health	Professional performance	Reports/ certificates	Total
Aboriginal and Torres Strait Islander health practitioner	-	-	-	-	-	-	-	-	-	-	-	-	-	0
Chinese medicine practitioner	-	-	-	-	-	-	-	-	-	1	-	-	-	1
Chiropractor	-	-	-	-	-	-	1	-	-	5	-	2	-	8
Dental practitioner	-	-	1	-	1	1	1	-	1	1	-	17	-	23
Medical practitioner	1	11	2	-	-	-	-	3	13	26	11	70	3	140
Medical radiation practitioner	-	-	-	-	-	-	-	-	-	1	-	-	-	1
Nursing and midwifery practitioner	-	-	1	-	-	-	-	3	7	39	8	12	-	70
Occupational therapist	-	-	-	-	-	-	-	-	-	-	-	-	-	0
Optometrist	-	-	-	-	-	-	-	-	-	-	-	-	-	0
Osteopath	-	-	-	-	-	-	-	-	-	-	-	-	-	0
Paramedic	-	-	-	-	-	-	-	-	-	7	-	2	-	9
Pharmacist	-	-	-	-	-	-	-	-	2	2	1	-	-	5
Physiotherapist	-	-	1	-	-	-	-	-	-	-	-	2	-	3
Podiatrist	-	-	-	-	-	-	-	-	-	1	-	3	-	4
Psychologist	-	1	2	-	-	1	-	1	-	10	3	6	2	26
Student practitioner	-	-	-	-	-	-	-	-	-	-	-	-	-	0
Total	1	12	7	0	1	2	2	7	23	93	23	114	5	290

The figures above represent the number of issues referred to AHPRA, not the number of practitioners. The referral of a single practitioner may include multiple issues relating to that practitioner, with each issue requiring its own action.

# **Demographics of healthcare consumers**

### **Gender of healthcare consumers**

Gender	Number	Percentage
Female	289	43.59
Male	322	48.57
Prefer not to specify	7	1.06
Unknown*	45	6.79
Total	663	100.00

<sup>\*</sup>Not recorded or not provided for a particular matter.

### Age of healthcare consumers

Age	Number	Percentage
Less than 18 years	28	4.22
18–24 years	56	8.45
25–34 years	111	16.74
35–44 years	142	21.42
45–54 years	105	15.84
55–64 years	72	10.86
65–74 years	47	7.09
More than 75 years	35	5.28
Unknown*	67	10.11
Total	663	100.00

<sup>\*</sup>Not recorded or not provided for a particular matter.

### **Location of healthcare consumers**

Location of healthcare consumers	Number	Percentage
Brisbane	293	44.19
Central West	1	0.15
Darling Downs	25	3.77
Far North	33	4.98
Fitzroy	31	4.68
Gold Coast	67	10.11
Mackay	8	1.21
North West	2	0.30
Northern	44	6.64
South West	4	0.60
Sunshine Coast	25	3.77
West Moreton	27	4.07
Wide Bay-Burnett	43	6.49
Outside Queensland	12	1.81
Unknown*	48	7.24
Total	663	100.00

<sup>\*</sup>Not recorded or not provided for a particular matter.

# Location of health service providers

Location of health service providers	Number	Percentage
Brisbane	334	46.98
Central West	1	0.14
Darling Downs	35	4.92
Far North	38	5.34
Fitzroy	30	4.22
Gold Coast	80	11.25
Mackay	7	0.98
North West	4	0.56
Northern	44	6.19
South West	3	0.42
Sunshine Coast	28	3.94
West Moreton	17	2.39
Wide Bay-Burnett	39	5.49
Outside Queensland*	4	0.56
Unknown**	47	6.61
Total	711	100.00

<sup>\*</sup>Health service provider location is taken from the primary address of the provider recorded in the OHO complaints management system. Complaints can be made about health service providers from other states who have provided health services in Queensland. This could include locums travelling to Queensland from interstate or providers who previously lived in Queensland providing services but have since moved interstate—as the OHO can deal with complaints up to two years old.

<sup>\*\*</sup>Not recorded or not provided for a particular matter.