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#### Office of the Health Ombudsman—Performance report November 2021

Published by the Office of the Health Ombudsman January 2022



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## Introduction

This document reports on our performance during November 2021.

The OHO is Queensland's health service complaints management agency. We are an independent statutory body and the one place all Queenslanders should go if they have a complaint about a health service provided to them or someone in their care, a health service provider or any aspect of a health service provided in Queensland.

The OHO's vision is 'safe, competent and ethical health services that are responsive to consumer complaints' The OHO is committed to ensuring that all decisions are well-informed, fair, impartial and timely. For transparency the OHO publishes monthly, quarterly and yearly reports about its performance.

Data in this report is correct as at 30 November 2021 but is subject to change.

# Intake of complaints

## **Type of contacts**

Type of contact	Number	Percentage
Complaint	914	78.12
Enquiry	184	15.73
Information	72	6.15
Yet to be classified	0	0.00
Total	1170	100.00

<sup>&#</sup>x27;Yet to be classified' includes contacts in which not enough information was provided for a determination to be reached—but further information is being sought, or matters that were not able to be finalised prior to the end of the reporting period. Contacts deemed 'yet to be classified' will be allocated as complaints or enquiries once additional information is received and registered as such in the next reporting period.

## Type of complaints

Type of complaints	Number	Percentage
Health consumer complaint	792	86.65
Mandatory notification*	35	3.83
Voluntary notification*	76	8.32
Self-notification*	5	0.55
Referral from another agency	6	0.66
Total	914	100.00

<sup>\*</sup>Notifications are matters defined under the Health Practitioner Regulation National Law (Queensland) and only relate to registered practitioners.

## **Complaint decisions**

On 1 March 2020, amendments were made to the *Health Ombudsman Act 2013* (the Act) enabling the office to 'Not accept' a complaint in situations where the Health Ombudsman is satisfied:

- the complaint would be more appropriately dealt with by a different person or organisation; or
- the complainant has not yet sought a resolution with the relevant health service provider and it is reasonable in the circumstances for the complainant to first do so.

As a result of these changes, the table "Accepted vs Not Accepted" has been replaced with the table "Decisions made" included below.

Cases previously categorised as "Not Accepted" are now reported under the category of "Accepted and no further action taken" and relate to the number of decisions to take no further action under s 44 of the Act. This change is to definition only, and no alterations have been made to how these cases are managed by the office.

#### Decision timeframes—within seven days

Decision made within seven days of receiving a complaint	Number	Percentage			
Yes	865	97.63			
No	21	2.37			
Total	886	100.00			

#### **Decisions made**

Number of decisions made	Number	Percentage			
Accepted and further relevant action taken	460	51.92			
Accepted and no further action taken*	293	33.07			
Not accepted under s35A**	133	15.01			
Total	886	100.00			

<sup>\*</sup>These decisions relate to matters in which the Health Ombudsman has decided to take no further action under section 44 of the Act. Prior to 1 March 2020, this category was reported as "Not Accepted".

An additional 14 matters were determined to fall outside the jurisdiction of the Act.

<sup>\*\*</sup>Matters may not be accepted under s35A of the Act where the matter would be more appropriately dealt with by an entity other than the health ombudsman or where the complainant has not yet sought a resolution with the health service provider.

#### **Accepted decision outcomes**

Type of relevant action taken	Number	Percentage
Assessment	105	20.92
Local resolution	81	16.14
Conciliation	0	0.00
Investigation	5	1.00
Referral to Ahpra and the national boards	197	39.24
Referral to another entity	114	22.71
Referral to legal services	0	0.00
Total	502	100.00

The data in the above table includes all actions taken in matters which were identified as requiring action (noted in category 'Accepted and further relevant action taken' included the previous 'Decisions made' table). A single decision may result in more than one action being taken.

# Health service complaints profile

## Main issues raised in complaints

Issue	Number	Percentage			
Access	145	9.40			
Code of conduct for HCW	16	1.04			
Communication & Information	180	11.67			
Consent	33	2.14			
Discharge & Transfer Arrangements	24	1.56			
Environment/Management of Facility	50	3.24			
Fees & Costs	53	3.44			
Grievance Processes	73	4.73			
Health Ombudsman Act 2013 offence	0	0.00			
Medical Records	52	3.37			
Medication	168	10.89			
Professional Conduct	194	12.58			
Professional Health	23	1.49			
Professional Performance	502	32.56			
Reports/Certificates	28	1.82			
Research/teaching/assessment	1	0.06			
Total	1542	100.00			

These figures are based on issues recorded during the reporting period. A single complaint can contain multiple issues. Reporting on all issues identified in complaints allows comprehensive monitoring of trends, which can in turn inform a range of health complaint management system functions, such as education and information provision.

# Subcategories of professional performance issues raised in complaints

Issue	Number	Percentage			
Competence	24	4.78			
Coordination of treatment	50	9.96			
Delay in treatment	29	5.78			
Diagnosis	39	7.77			
Inadequate care	37	7.37			
Inadequate consultation	56	11.16			
Inadequate prosthetic equipment	3	0.60			
Inadequate treatment	99	19.72			
Infection control	8	1.59			
No or inappropriate referral	19	3.78			
Public or private election	0	0.00			
Rough and painful treatment	7	1.39			
Teamwork and supervision	5	1.00			
Unexpected treatment outcome or complications	92	18.33			
Withdrawal of treatment	15	2.99			
Wrong or inappropriate treatment	19	3.78			
Total	502	100.00			

Professional performance represents the largest proportion of complaint issues. Additional information on this category of issue provides greater transparency around the issues being managed by OHO. These figures are based on issues recorded during the reporting period. A single complaint can contain multiple issues. Reporting on all issues identified in complaints allows comprehensive monitoring of trends, which can in turn inform a range of health complaint management system functions, such as education and information provision.

## **Profile of complaints about health practitioners**

Practitioner type	Number of							Number and ty	/pe of issues** i	dentified in compla	aints about he	ealth practitione	rs					
	practitioners identified in complaints*	Access	Code of conduct for healthcare workers	Communication and information	Consent	Discharge/ transfer arrangements	Environment/ management of facility	Fees and costs	Grievance process	Health Ombudsman Act 2013 Offence	Medical records	Medication	Professional conduct	Professional health	Professional performance	Reports/ certificates	Research/ Teaching/ Assessment	Total issues identified
Aboriginal and Torres Strait Islander health practitioner	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0
Chinese medicine practitioner	4	-	2	-	-	-	-	-	-	-	-	-	-	-	2	-	-	4
Chiropractor	3	-	-	-	-	-	-	-	-	-	-	-	2	-	1	-	-	3
Dental practitioner	25	-	-	1	1	-	-	3	1	-	3	-	3	2	17	-	-	31
Medical practitioner	292	19	-	71	8	2	-	8	5	-	14	42	62	5	169	19	1	425
Medical radiation practitioner	2	-	-	1	-	-	-	-	-	-	-	-	1	1	-	-	-	3
Midwife	2	-	-	-	-	2	-	-	-	-	-	-	2	-	2	-	-	6
Nurse	69	-	-	6	-	-	-	-	3	-	5	8	47	7	12	-	-	88
Occupational therapist	1	-	-	-	-	-	-	-	-	-	-	-	-	-	1	-	-	1
Optometrist	5	-	-	-	-	-	-	1	-	-	-	-	-	-	4	-	-	5
Osteopath	1	-	-	1	-	-	-	-	-	-	-	-	-	-	1	-	-	2
Paramedic	7	-	-	-	-	-	-	-	-	-	-	-	3	2	3	-	-	8
Pharmacist	22	-	-	4	-	-	-	-	-	-	-	12	8	1	1	-	-	26
Physiotherapist	4	-	-	-	-	-	-	-	-	-	-	-	1	-	3	-	-	4
Podiatrist	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0
Psychologist	24	-	-	7	-	-	-	1	-	-	4	-	12	3	10	2	-	39
Student practitioner	2	-	-	-	-	-	-	-	-	-	-	-	1	1	-	-	-	2
Unregistered practitioner	21	-	11	2	-	-	-	1	-	-	-	-	9	-	3	-	-	26
Unknown practitioner	24	2	1	9	1	-	-	-	1	-	2	2	5	-	8	1	-	32
Total	508	21	14	102	10	4	0	14	10	0	28	64	156	22	237	22	0	705

<sup>\*</sup> The figures reported in this column are a count of the number of health practitioners identified in complaints during the reporting period. A single complaint may identify more than one health practitioner and/or health service organisation. In circumstances where a health practitioner is identified in relation to multiple complaints, the health practitioner would be counted per complaint. For example, a health practitioner identified in three complaints would be counted three times in this column.

<sup>\*\*</sup> This data is a count of the number of issues identified within the reporting period. A complaint may also identify more than one issue per health practitioner.

## Profile of complaints about health service organisations

Organisation type	Number of						Numb	er and type o	f issues** ident	fied in complaints	about health	n service organi	sations					
	facilities identified in complaints*	Access	Code of conduct for healthcare workers	Communication and information	Consent	Discharge/ transfer arrangements	Environment/ management of facility	Fees and costs	Grievance processes	Health Ombudsman Act 2013 Offence	Medical records	Medication	Professional conduct	Professional health	Professional performance	Reports/ certificates	Research/ Teaching/ Assessment	Total issues identified
Administrative service	2	-	-	1	-	-	1	-	-	-	-	-	-	-	-	-	-	2
Aged care facility	5	-	-	1	-	-	1		-	-	-	-	-	-	6	-	-	8
Allied health service	9	1	-	3	-	-	-	2	2	-	-	-	2	-	4	-	-	14
Ambulance service	5	-	-	-	-	-	-	-	1	-	-	1	-	-	4	-	-	6
Community health service	21	4	1	3	2	-	2	1	4	-	2	3	-	1	9	-	-	32
Correctional facility	143	43	-	6	-	-	4	-	-	-	-	58	-	-	43	1	-	155
Dental service	23	6	-	2	1	-	-	2	4	-	-	-	-	-	14	-	-	29
Health promotion service	1	1	-	1	-	-	-	-	-	-	-	-	-	-	-	-	-	2
Hospital and Health Service	15	6	-	-	2	2	1	1	1	-	-	2	1	-	8	-	-	24
Laboratory service	3	2	-	1	-	-	-	1	-	-	-	-	-	-	-	-	-	7
Licensed day hospital	1	-	-	-	-	-	-	-	1	-	-	-	-	-	2	-	-	3
Licensed private hospital	26	1	-	5	-	-	4	10	2	-	-	3	2	-	11	-	-	38
Medical centre	83	19	-	16	2	-	15	8	7	-	10	11	8	-	19	3	-	118
Mental health service	27	1	-	6	6	3	2	-	-	-	3	7	6	-	14	-	-	48
Nursing service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0
Optical Store	1	-	-	1	-	-	-	-	-	-	1	-	-	-	-	-	-	2
Other government department	3	1	-	-	-	-	1	-	-	-	-	-	-	-	1	-	-	3
Other support service	5	1	-	-	-	1	-	2	1	-	-	1	-	-	1	-	-	7
Paramedical service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0
Pharmaceutical service	15	2	-	5	-	-	1	-	4	-	-	7	-	-	-	-	-	19
Private organisation	28	5	1	2	-	-	3	5	6	-	2	4	3	-	4	-	-	35
Public health service	9	3	-	-	1	-	3	-	2	-	-	1	1	-	2	-	-	13
Public hospital	178	27	-	23	8	13	10	3	25	-	5	5	14	-	115	2	-	250
Residential care service	3	-	-	-	1	-	2	-	3	-	-	-	-	-	1	-	-	7
Social work service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0
Specialised health service	12	1	-	1	-	1	-	4	-	-	1	1	1	-	6	-	-	16
Unknown organisation	1	-	-	-	-	-	-	-	-	-	-	-	-	-	1	-	-	1
Welfare service	-	-	-	-	-	-	-		-	-	-	-	-	-	-	-	-	0
Total	620	124	2	78	23	20	50	39	63	0	24	104	38	1	265	6	0	779

\* The figures reported in this column are a count of the number of health service organisations identified in complaints during the reporting period. A single complaint may identify more than one health practitioner and/or health service organisation. In circumstances where a health service organisation is identified in relation to multiple complaints, the health service organisation would be counted per complaint. For example, health service organisation identified in three complaints would be counted three times in this column. \*\* This data is a count of the number of issues identified within the reporting period. A complaint may also identify more than one issue per health service organisation.

## **Assessment**

## **Assessments started and completed**

Assessments this month	Number						
Assessments started	115						
Assessments completed	96						

## **Completed assessment timeframes**

Of the 96 assessments finalised in November, 92 were completed within 30 days or 60 days with an approved extension, representing a finalisation rate within statutory timeframes of 95.83 per cent.

Of the 58 assessments completed within 60 days, all were approved for extension.

Assessment timeframes	Number	Percentage
Completed within 30 days	34	35.42
Completed within 60 days*	58	60.42
Completed in more than 60 days	4	4.16
Total	96	100.00

<sup>\*</sup>Assessments are able to be completed within 60 days when granted an extension of 30 days as a result of legislated requirements being met.

#### **Assessment decisions**

Type of relevant action	Number	Percentage
Local resolution	0	0.00
Conciliation	4	3.88
Investigation	11	10.68
Referred to Ahpra and the national boards	22	21.36
Referral to another entity	14	13.59
No further action	52	50.49
Total	103	100.00

Total assessment decisions will not equal the total number of assessments (in previous tables) as a single assessment can result in multiple relevant actions.

## **Local resolution**

## Local resolutions started and completed

Local resolutions this month	Number
Local resolutions started	85
Local resolutions completed	75

## **Completed local resolutions**

#### **Timeframes**

Of the 75 local resolutions finalised in November, 73 were completed within 30 days or 60 days with an approved extension, representing a finalisation rate within statutory timeframes of 97.33 per cent.

Local resolution timeframes	Number	Percentage
Completed within 30 days	53	70.67
Completed within 60 days*	22	29.33
Completed in more than 60 days	0	0.00
Total	75	100.00

<sup>\*</sup>Local resolutions are able to be completed within 60 days when granted an extension of 30 days as a result of legislated requirements being met.

#### **Outcomes**

Local resolution outcomes	Number	Percentage
Resolved	34	45.33
Not resolved	30	40.00
Complaint withdrawn*	9	12.00
Local resolution did not commence**	2	2.67
Total	75	100.00

<sup>\*</sup>Complainants can choose to withdraw their complaint at any stage during local resolution.

#### Decisions for matters that were not resolved

Type of relevant action	Number	Percentage
Assessment	0	0.00
Conciliation	0	0.00
Investigation	0	0.00
Referral to Ahpra and the national boards	2	6.67
Referral to another entity	0	0.00
No further action	28	93.33
Total	30	100.00

<sup>\*\*</sup>A local resolution may not commence where the complaint is resolved directly with the health care provider prior to the commencement of the process.

## **Conciliation**

#### Conciliations started and closed

Conciliations this month	Number
Conciliations open at the start of the month	33
Conciliations started	5
Conciliations closed	5

'Conciliations started' includes all matters—including matters where agreement to participate has or has not been reached or the decision is pending—that entered the conciliation workflow during the reporting period. Similarly, 'conciliations closed' are all matters that were closed during the reporting period, whether due to parties not agreeing to participate or the matter being closed after completing the conciliation process. Closed conciliations differ from completed conciliations below, as completed conciliations only include matters where both parties agreed to participate and the conciliation process was completed.

### Agreement to participate in conciliation

Agreement to participate in conciliation	Number
Parties agreed to conciliation	1
Party/ies did not agree to conciliation	3
Decision pending at end of month	15

Once the decision is made to attempt conciliation, both parties must agree to participate in the process. If either one, or both, of the parties do not agree, the conciliation process does not commence and the matter is closed.

'Decisions pending' includes matters where parties are still deciding whether to participate in conciliation at the end of the reporting period. It also includes matters that have been referred to another relevant action, where conciliation may not commence until this relevant action has been finalised.

## **Completed conciliations**

#### **Timeframes**

Completed conciliation timeframes	Number	Percentage
Less than 3 months	2	100.00
3–6 months	0	0.00
6–9 months	0	0.00
9–12 months	0	0.00
More than 12 months	0	0.00
Total	2	100.00

The data above relates to matters where parties initially agreed to participate in conciliation and the conciliation process was completed within the specified timeframes. 'Completed conciliations' differ from 'closed conciliations'—in the table on page 15—as they only relate to matters where parties initially agreed to participate.

#### **Outcomes**

Conciliation outcomes	Number	Percentage
Successful	2	100.00
Not successful	0	0.00
Ended by Health Ombudsman	0	0.00
Parties withdrew prior to conciliation conference*	0	0.00
Total	2	100.00

The data above relates to matters where parties agreed to participate in conciliation. After agreeing, the conciliation process was completed with the matter either being successful or not successful—or in some instances, the Health Ombudsman may end a conciliation or parties involved may withdraw from the process prior to conciliation occurring. 'Completed conciliations' differ from 'closed conciliations'—in the table on page 16—in that they only relate to matters where parties agreed to participate in conciliation.

#### Decisions for conciliations that were not successful

Type of relevant action	Number	Percentage
Local resolution	0	0.00
Investigation	0	0.00
Referral to Ahpra and the national boards	0	0.00
Referral to another entity	0	0.00
No further action	3	100.00
Total	3	100.00

## **Open conciliation timeframes**

Open conciliation timeframes	Number	Percentage
Less than 3 months	16	48.48
3–6 months	6	18.18
6–9 months	3	9.09
9–12 months	1	3.03
More than 12 months	7	21.21
Total	33	100.00

Matters can be referred simultaneously to conciliation and another relevant action. Conciliation may not commence until after the other relevant action has been finalised. To allow for continued transparency in the timeliness of conciliation processes, open conciliation timeframes include the time in which a matter was on hold whilst another process was finalised.

There are 11 matters on hold until the outcome of another process is finalised (e.g. the process of another agency such as Ahpra). This includes 3 matters that have been open for less than 3 months, 1 that has been open for 3–6 months, 2 that have been open for 6 – 9 months, 0 that have been open for 9–12 months, and 5 that have been open for more than 12 months.

<sup>\*</sup>Improvements to the office's systems and processes have enabled the inclusion of this outcome in September 2020. Previously matters where parties initially agreed to participate in conciliation, and subsequently withdrew from the process were reported as Not successful.

# Investigation

## Investigations started and closed

Investigations this month	Number
Investigations open at start of month	142
Investigations started	28
Investigations closed	11
Investigations amalgamated under s40(2)*	1
Investigations separated under s40(2)**	0

<sup>\*</sup> Matters that involve similar allegations against a health service provider may be combined and dealt with together under section 40(2) of the Act.

## **Closed investigations**

#### **Timeframes**

Of the 11 investigations, 45.45 per cent were closed within twelve months of commencement.

Closed investigation timeframes	Number	Percentage
Less than 3 months	1	9.09
3–6 months	1	9.09
6–9 months	1	9.09
9–12 months	2	18.18
12–24 months	4	36.36
More than 2 years	2	18.18
Total	11	100.00

## **Investigation outcomes**

Outcome	Number	Percentage
Recommended for referral to Director of Proceedings*	6	50.00
Recommended that the Health Ombudsman issue a Permanent Prohibition Order	3	25.00
Referred to Ahpra	0	0.00
Referred to another agency	1	8.33
No further action	2	16.67
Conciliation	0	0.00
Total	12	100.00

Total investigation outcomes may not equal the total number of investigations completed (in previous tables) as a single investigation may result in multiple outcomes. In certain circumstances it may also be appropriate for the office to take action prior to the investigation being completed.

<sup>\*\*</sup>The office may decide to separate an investigation in cases where, as the investigation progresses, it becomes apparent that the matter is not suitable to be dealt with together under s40(2) of the Act.

<sup>\*</sup>Matters determined suitable for referral to the Director of Proceedings at the conclusion of an investigation are sent to the Health Ombudsman for consideration and determination on whether referral to the Director of Proceedings is appropriate.

## **Open investigations**

Open investigations consist of two categories—active investigations and paused investigations.

Active investigations are being currently investigated by the office, while paused investigations are not able to be investigated by the office until such time as another agency—such as the Queensland Police Service or the Office of the State Coroner—concludes their own processes. Despite the office being unable to progress paused investigations, they are still considered to be open investigations.

#### **Active investigation timeframes**

Active investigation timeframes	Number	Percentage
Less than 3 months	37	36.63
3–6 months	17	16.83
6–9 months	23	22.77
9–12 months	7	6.93
12-24 months*	13	12.87
More than 2 years*	4	3.96
Total	101	100.00

<sup>\*</sup> All investigations that have been open for more than 12 months are published on the investigations register on the OHO website.

#### **Paused investigation timeframes**

Paused investigation timeframes	Number	Percentage
Less than 3 months	5	8.77
3–6 months	12	21.05
6–9 months	7	12.28
9–12 months	7	12.28
12–24 months	20	35.09
More than 2 years	6	10.53
Total	57	100.00

#### **Total open investigation timeframes**

Total open investigation timeframes	Number	Percentage
Less than 3 months	42	26.58
3–6 months	29	18.35
6–9 months	30	18.99
9–12 months	14	8.86
12–24 months	33	20.89
More than 2 years	10	6.33
Total	158	100.00

## **Total open investigation categories**

Type of investigation	Number
Health service complaint	87
Systemic issue	3
Ministerial directed investigation	0
Another matter	64
s105 matters	4
Total	158

# Monitoring investigation recommendations

At the completion of certain investigations, the Health Ombudsman makes recommendations to health services for how they can improve service delivery and/or prevent the issues identified in the investigation from happening again. In these instances, the OHO puts in place a recommendation monitoring program to track the implementation of the recommendations.

## **OHO** recommendations monitoring

Cases this month	Number
Cases open at the beginning of the month	2
Recommendations monitoring cases started	0
Recommendations monitoring cases closed	0

## Open recommendations monitoring timeframes

Open case timeframes	Number	Percentage
Less than 6 months	0	0.00
6–12 months	0	0.00
More than 12 months	2	100.00
Total	2	100.00

# **Director of Proceedings**

## Matters referred to the Director of Proceedings by practitioner type

Practitioner type	Number	Percentage
Medical Practitioner	1	20.00
Paramedic	1	20.00
Registered Nurse	3	60.00
Total	5	100.00

# Matters currently with the Director of Proceedings by practitioner type

Practitioner type	Number	Percentage
Dentist	1	3.50
Medical practitioner	20	68.90
Paramedic	1	3.50
Pharmacist	1	3.50
Registered nurse	6	20.70
Total	29	100.00

## **Outcomes of matters reviewed by Director of Proceedings**

#### Matters filed in in the Queensland Civil and Administrative Tribunal

Practitioner type	Number	Percentage
Medical Practitioner	1	25.00
Medical Practitioner & Dentist	1	25.00
Registered Nurse	2	50.00
Total	4	100.00

#### Matters to be referred back to the Health Ombudsman

Practitioner type	Number	Percentage
Medical Practitioner	1	50.00
Pharmacist	1	50.00
Total	2	100.00

## Immediate action

The *Health Ombudsman Act 2013* allows for immediate action to be taken against a registered or unregistered health practitioner if the Health Ombudsman reasonably believes the practitioner poses a serious risk to the health and safety of the public, or it is otherwise in the public interest.

#### Show cause notices

In November 2021, ten show cause notices were issued to:

- four nurses
- one psychologist
- five unregistered health practitioners

As outlined in the Act, upon receipt of a show cause notice, a health service provider is invited to make a submission within a stated period of time. The Health Ombudsman will then consider the submission before deciding whether to take immediate action against the provider.

## Immediate registration actions

Practitioner type	Number	Action taken	Reasons/s for taking action*	
			Public Interest	Serious Risk
Medical Practitioner	1	Conditions	✓	✓

## Interim prohibition orders

Practitioner type	Number	Action taken	Reasons/s for taking action*	
			Public Interest	Serious Risk
Health Service Provider	1	Prohibition	✓	✓
Massage Therapist	1	Prohibition	✓	✓

# Monitoring practitioner compliance

When the Health Ombudsman takes immediate action against a health practitioner, the office monitors the practitioner's compliance with the conditions of the order.

For interim prohibition orders, this means monitoring compliance with the restriction(s) on or prohibition of service. For immediate registration actions, this means monitoring compliance with condition(s) on or suspension of a practitioner's registration.

The Health Ombudsman may take immediate action against a single practitioner with both immediate registration action and an interim prohibition order. This can occur, for example, in instances where there is a risk that a registered practitioner may also practice in an unregistered capacity.

### **Practitioner monitoring cases**

Cases this month	Number
Cases open at the beginning of the month	178
Practitioner monitoring cases started	3
Practitioner monitoring cases closed	2

## **Open monitoring cases**

#### **Timeframes**

Open case timeframes	Number	Percentage
Less than 6 months	51	28.49
6–12 months	37	20.67
More than 12 months	91	50.84
Total	179	100.00

#### **Immediate action types**

Open cases by immediate action type	Number	Percentage
Interim prohibition order – restrictions	25	13.97
Interim prohibition order – prohibited	30	16.76
Immediate registration action - conditions	51	28.49
Immediate registration action - suspension	22	12.29
QCAT disciplinary decision	31	17.32
QCAT interim decision	1	0.56
Permanent prohibition order	19	10.61
Total	179	100.00

## Registered practitioners being monitored by practitioner type

Open cases by practitioner type	Number	Percentage
Chinese medicine practitioner	5	6.67
Chiropractor	1	1.33
Dental practitioner	3	4.00
Medical practitioner	30	40.00
Nursing and midwifery practitioner	20	26.67
Osteopath	1	1.33
Paramedic	4	5.33
Pharmacist	2	2.67
Physiotherapist	4	5.33
Psychologist	5	6.67
Total	75	100.00

These figures are based on the number of individual registered practitioners being monitored by the OHO during the reporting period. As a single practitioner may be monitored in relation to more than one immediate action, these figures may not match the total number of open monitoring cases.

#### Unregistered practitioners being monitored by type

Open cases by practitioner type	Number	Percentage
Aboriginal and Torres strait islander health worker	1	1.05
Aged care health worker	8	8.42
Assistant in nursing	13	13.68
Ayurvedic medicine practitioner	1	1.05
Cosmetic therapist	1	1.05
Counsellor	1	1.05
Disability support worker	1	1.05
Former registered health practitioner	17	17.89
Holding Out	3	3.16
Kinesiologist	2	2.11
Massage therapist	30	31.58
Medical assistant	3	3.16
Naturopath	1	1.05
Other health educator	1	1.05
Other health support worker	2	2.11
Other natural or alternative health care provider	2	2.11
Personal carer	3	3.16
Psychotherapists	1	1.05
Social Worker	1	1.05
Unregistered paramedic**	2	2.11
Wardsperson	1	1.05
Total	95	100.00

<sup>\*</sup>The titles of certain registered health professions are protected under the National Law. Anyone who uses a protected title (e.g. doctor), without being registered for that profession, are classified as 'holding out'.

These figures are based on the number of individual unregistered practitioners being monitored by the OHO during the reporting period. As a single practitioner may be monitored in relation to more than one immediate action, these figures may not match the total number of open monitoring cases.

<sup>\*\*</sup>In November 2018 paramedicine became a regulated profession under the National Registration and Accreditation Scheme. The practitioners listed in this table were working as paramedics prior to the regulation of the profession and are therefore listed as unregistered.

# **Australian Health Practitioner Regulation Agency**

#### **Consultation on matters**

The office consults with Ahpra on matters that are considered to be appropriate for Ahpra to manage. For matters that we are considering referring to Ahpra under section 91 of the Act, we provide Ahpra with all necessary information in order for Ahpra to form a view as to whether they need to discuss or accept and progress the referral.

Consultation matters	Number
Matters consulted on*	237
Matters referred	233
Matters retained by the office**	4
Decision pending	37

<sup>\*</sup>The number of matters consulted on may not equal the total number of matters referred, withdrawn and pending as a matter may have commenced consultation prior to the start of the reporting period.

#### Relevant action proposing referral

Relevant action	Number	Percentage
Intake and triage	204	86.08
Assessment	31	13.08
Conciliation	0	0.00
Local resolution	2	0.84
Internal review	0	0.00
Investigations	0	0.00
Director of Proceedings	0	0.00
Total	237	100.00

<sup>\*\*</sup>Under certain circumstances additional information may be received in the course of consultation resulting in the office retaining carriage of the matter and/or taking other relevant action.

#### Age of matters on commencement of consultation

In order to prevent duplication of work, we aim to ensure that matters are referred to Ahpra as early as possible in the complaint management process.

Due to the type of matters for which investigation or conciliation is deemed appropriate, and the more time intensive nature of these processes, these matters are usually older when consultation commences.

Relevant action	0–7 days	8–14 days	15–30 days	31–60 days	More than 60 days
Intake and triage	191	10	2	1	0
Assessment	0	4	7	13	7
Local resolution	0	0	1	1	0
Conciliation	0	0	0	0	0
Internal review	0	0	0	0	0
Investigation	0	0	0	0	0
Director of Proceedings	0	0	0	0	0
Total	191	14	10	15	7

<sup>&#</sup>x27;Age of matters' is calculated from the date on which a matter was accepted by the office.

#### **Consultation duration**

Consultation duration	Number	Percentage
0–3 days	185	78.06
4–7 days	52	21.94
8–11 days	0	0.00
More than 12 days	0	0.00
Total	237	100.00

## Number of practitioners referred to Ahpra by practitioner type

Practitioner type	Number	Percentage
Aboriginal and Torres Strait Islander health practitioner	0	0.00
Chinese medicine practitioner	4	1.67
Chiropractor	1	0.42
Dental practitioner	17	7.11
Medical practitioner	127	53.14
Medical radiation practitioner	4	1.67
Nursing and midwifery practitioner	50	20.92
Occupational therapist	2	0.84
Optometrist	3	1.26
Osteopath	0	0.00
Paramedic	5	2.09
Pharmacist	9	3.77
Physiotherapist	1	0.42
Podiatrist	0	0.00
Psychologist	15	6.28
Student practitioner	0	0.00
Unknown practitioner	1	0.42
Total	239	100.00

## Number of issues referred to Ahpra by practitioner type

Registered practitioner type	Access	Communication and information	Consent	Discharge/ transfer arrangements	Environment/ management of facility	Fees and costs	Grievance process	Medical records	Medication	Professional conduct	Professional health	Professional performance	Reports/ certificates	Total
Aboriginal and Torres Strait Islander health practitioner	-	-	-	-	-	-	-	-	-	3	-	1	-	4
Chinese medicine practitioner	-	-	-	-	-	-	-	-	-	-	-	-	-	0
Chiropractor	-	-	-	-	-	-	-	-	-	-	-	1	-	1
Dental practitioner	-	2	1	-	-	-	-	1	-	1	2	16	-	23
Medical practitioner	1	17	8	4	2	3	3	7	16	35	5	104	7	212
Medical radiation practitioner	-	1	-	-	-	-	-	-	-	3	1	-	-	5
Nursing and midwifery practitioner	-	2	1	-	-	-	1	3	3	32	8	10	-	60
Occupational therapist	-	1	-	-	-	-	-	-	-	1	-	1	-	3
Optometrist	-	-	-	-	-	-	-	-	-	-	-	3	-	3
Osteopath	-	-	-	-	-	-	-	-	-	-	-	-	-	0
Paramedic	-	-	-	-	-	-	-	-	-	2	1	3	-	6
Pharmacist	-	2	-	-	-	-	-	-	5	5	-	-	-	12
Physiotherapist	-	-	-	-	-	-	-	-	-	-	-	1	-	1
Podiatrist	-	-	-	-	-	-	-	-	-	-	-	-	-	0
Psychologist	-	3	-	-	-	1	-	-	-	9	2	11	-	26
Student practitioner	-	-	-	-	-	-	-	-	-	-	-	-	-	0
Total	1	28	10	4	2	4	4	11	24	91	19	151	7	356

The figures above represent the number of issues referred to AHPRA, not the number of practitioners. The referral of a single practitioner may include multiple issues relating to that practitioner, with each issue requiring its own action.

# **Demographics of healthcare consumers**

### **Gender of healthcare consumers**

Gender	Number	Percentage
Female	436	46.83
Male	459	49.30
Prefer not to specify	10	1.07
Unknown*	26	2.79
Total	931	100.00

<sup>\*</sup>Not recorded or not provided for a particular matter.

## Age of healthcare consumers

Age	Number	Percentage
Less than 18 years	44	4.73
18–24 years	49	5.26
25–34 years	156	16.76
35–44 years	219	23.52
45–54 years	165	17.72
55–64 years	120	12.89
65–74 years	67	7.20
More than 75 years	39	4.19
Unknown*	72	7.73
Total	931	100.00

<sup>\*</sup>Not recorded or not provided for a particular matter.

## **Location of healthcare consumers**

Location of healthcare consumers	Number	Percentage
Brisbane	410	44.04
Central West	1	0.11
Darling Downs	26	2.79
Far North	52	5.59
Fitzroy	40	4.30
Gold Coast	93	9.99
Mackay	15	1.61
North West	4	0.43
Northern	39	4.19
South West	0	0.00
Sunshine Coast	54	5.80
West Moreton	21	2.26
Wide Bay–Burnett	57	6.12
Outside Queensland	43	4.62
Unknown*	76	8.16
Total	931	100.00

<sup>\*</sup>Not recorded or not provided for a particular matter.

# Location of health service providers

Location of health service providers	Number	Percentage
Brisbane	578	52.83
Central West	0	0.00
Darling Downs	31	2.83
Far North	55	5.03
Fitzroy	33	3.02
Gold Coast	105	9.60
Mackay	16	1.46
North West	5	0.46
Northern	51	4.66
South West	0	0.00
Sunshine Coast	56	5.12
West Moreton	16	1.46
Wide Bay-Burnett	54	4.94
Outside Queensland*	9	0.82
Unknown**	85	7.77
Total	1094	100.00

<sup>\*</sup>Health service provider location is taken from the primary address of the provider recorded in the OHO complaints management system. Complaints can be made about health service providers from other states who have provided health services in Queensland. This could include locums travelling to Queensland from interstate or providers who previously lived in Queensland providing services but have since moved interstate—as the OHO can deal with complaints up to two years old.

<sup>\*\*</sup>Not recorded or not provided for a particular matter.